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## Improved degree conditions for Hamiltonian properties

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## ABSTRACT

In 1980, Bondy proved that for an integer  $k \geq 2$  a  $(k+s)$ -connected graph of order  $n \geq 3$  is traceable ( $s = -1$ ) or Hamiltonian ( $s = 0$ ) or Hamiltonian-connected ( $s = 1$ ) if the degree sum of every set of  $k+1$  pairwise nonadjacent vertices is at least  $\frac{1}{2}((k+1)(n+s-1)+1)$ . This generalizes the well-known sufficient conditions of Dirac ( $k = 0$ ) and Ore ( $k = 1$ ). The condition in Bondy's Theorem is not tight for  $k \geq 2$ . We improve this sufficient degree condition and show the general tightness of this result.

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## 1. Introduction

Let  $G$  be a finite and simple graph with vertex set  $V(G)$  and edge set  $E(G)$ . A path or cycle of a graph is called *Hamiltonian* if it contains each vertex of the graph exactly once. A graph is called *traceable* if it contains a Hamiltonian path, *Hamiltonian* if it contains a Hamiltonian cycle, and *Hamiltonian-connected* if it contains a Hamiltonian path between each pair of vertices. The  $r$ -closure  $Cl_r(G)$  is obtained from  $G$  by recursively joining pairs of nonadjacent vertices with degree sum at least  $r$  as long as such pairs do exist.

In 1952, Dirac proved a sufficient condition for Hamiltonicity, which was extended by Ore.

**Theorem 1** (Dirac 1952 [5], Ore 1960 [7]). *If  $G$  is a graph of order  $n \geq 3$  and minimum degree  $\delta(G)$  with  $\delta(G) \geq (n+s)/2$ , then  $G$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ .*

The following well-known degree sum condition by Ore generalizes the degree condition of Theorem 1.

**Theorem 2** (Ore 1960/63 [7,8]). *Let  $G$  be a graph of order  $n \geq 3$ . If the degree sum  $d(u) + d(v) \geq n + s$  for all pairs  $u, v$  of nonadjacent vertices, then  $G$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ .*

This was improved by Chvátal and Erdős, who proved the following result.

**Theorem 3** (Chvátal, Erdős 1972 [4]). *If  $G$  is a graph of order  $n \geq 3$  with independence number  $\alpha(G)$  and connectivity  $\kappa(G)$  with  $\alpha(G) \leq \kappa(G) - s$ , then  $G$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ .*

Note that the results of Theorems 1–3 are tight in the sense that the conditions of the theorems cannot be weakened.

**Theorem 1:** The graph  $G \cong K_{\lfloor \frac{n+s-1}{2} \rfloor} + \lceil \frac{n+s+1}{2} \rceil K_1$  satisfies  $\delta(G) = \lfloor \frac{n+s-1}{2} \rfloor$  but  $G$  is non-traceable for  $s = -1$ , non-Hamiltonian for  $s = 0$ , and non-Hamiltonian-connected for  $s = 1$ .

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**Theorem 2:** The graph  $G \cong (K_{n-s-2} \cup K_1) + K_{s+1}$  satisfies  $d(u) + d(v) \leq (n-2) + (s+1) = n+s-1$  for all pairs  $u, v$  of nonadjacent vertices but  $G$  does not have the corresponding property.

**Theorem 3:** The graph  $G \cong K_{r,r+s-1}$  satisfies  $\alpha(G) = \kappa(G) - s + 1$  but  $G$  does not have the corresponding property. Bondy and Chvátal proved the following theorem.

**Theorem 4** (Bondy, Chvátal 1976 [3]). Let  $G$  be a graph of order  $n$ . The graph  $G$  is traceable, Hamiltonian, or Hamiltonian-connected if and only if  $\text{Cl}_{n-1}(G)$  is traceable,  $\text{Cl}_n(G)$  is Hamiltonian, or  $\text{Cl}_{n+1}(G)$  is Hamiltonian-connected, respectively.

In the conditions of Theorems 1 and 2, independent sets of vertices of order 1 and 2 are considered. Bondy [2] proved sufficient conditions for the Hamiltonicity of graphs with respect to independent sets of arbitrary size.

Let  $\sigma_k(G)$  be the minimum sum of the degrees of any  $k$  independent vertices, that is,

$$\sigma_k(G) = \min \left\{ \sum_{v \in S} d(v) : S \subseteq V(G), S \text{ independent}, |S| = k \right\}.$$

If  $G$  does not contain  $k$  independent vertices, then we set  $\sigma_k(G) = \infty$ .

**Theorem 5** (Bondy 1980 [2]). For  $k \geq 2$  let  $G$  be a  $(k+s)$ -connected graph of order  $n \geq 3$ . If

$$\sigma_{k+1}(G) \geq \frac{1}{2}((k+1)(n+s-1) + 1)$$

then  $G$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ .

Setting  $k = 0$  in Theorem 5 results in Dirac's Theorem (Theorem 1) and  $k = 1$  in Ore's Theorem (Theorem 2).

It was asked in [1] for general tightness results of Theorem 5.

We show that Theorem 5 is not tight for  $n+s$  even by improving the degree condition of the theorem.

## 2. Main theorem

**Theorem 6.** Let  $G$  be a  $(k+s)$ -connected graph of order  $n \geq 3$  and let  $2 \leq k \leq \frac{n-s-2}{2}$ . If

$$\sigma_{k+1}(G) \geq \frac{1}{2}((k+1)(n+s-1) + 1) \quad \text{for } n+s \text{ odd}$$

and

$$\sigma_{k+1}(G) \geq \begin{cases} \frac{1}{2}((k+1)(n+s-1) + 3 - k), & k = \frac{n-s-2}{2} \\ \frac{1}{2}((k+1)(n+s-1) + 1 - k), & k < \frac{n-s-2}{2} \end{cases} \quad \text{for } n+s \text{ even}$$

then  $G$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ .

The degree condition of Theorem 6 is tight.

For odd  $n+s$ , the graph  $G \cong K_{\frac{n+s-1}{2}} + \frac{n-s+1}{2}K_1$  fulfills  $\sigma_{k+1}(G) = (k+1) \lfloor \frac{n+s-1}{2} \rfloor$  for  $2 \leq k \leq \frac{n-s-1}{2}$  but is non-traceable for  $s = -1$ , non-Hamiltonian for  $s = 0$ , and non-Hamiltonian-connected for  $s = 1$ .

For even  $n+s$ , the graph  $G \cong (\frac{n-s-2}{2}K_1 \cup K_2) + K_{\frac{n+s-2}{2}}$  fulfills  $\sigma_{k+1}(G) = (k+1) \lfloor \frac{n+s-1}{2} \rfloor + \lfloor \frac{k}{\frac{n-s-2}{2}} \rfloor$  for  $2 \leq k \leq \frac{n-s-2}{2}$  but does not have the corresponding Hamiltonian property.

Note that for  $n+s$  odd, the conditions of Theorems 5 and 6 coincide. Nevertheless, we will present a short proof of this case. If  $n+s$  is even, then the difference between the right-hand sides of the conditions equals  $\frac{k}{2}$ .

## 3. Proof of the main theorem

### 1. $n+s$ odd

Assume that  $G$  contains a set  $I$  of  $k+1$  pairwise independent vertices  $v_0, \dots, v_k$  with  $d(v_i) + d(v_j) \leq n+s-1$  for all  $0 \leq i < j \leq k$ . Then

$$k \cdot \sum_{0 \leq i \leq k} d(v_i) = \sum_{0 \leq i < j \leq k} (d(v_i) + d(v_j)) \leq \binom{k+1}{2} (n+s-1) = \frac{1}{2}k(k+1)(n+s-1)$$

which implies  $\sigma_{k+1}(G) \leq \sum_{i=0}^k d(v_i) \leq \frac{1}{2}k(k+1)(n+s-1)$ , a contradiction to the condition of Theorem 6.

It follows that there exists a pair of vertices having degree sum at least  $n+s$  in each set  $I$  of  $k+1$  independent vertices, that is, the closure  $\text{Cl}_{n+s}(G)$  does not contain an independent set of  $k+1$  vertices. Therefore,  $\alpha(\text{Cl}_{n+s}(G)) \leq k \leq \kappa(\text{Cl}_{n+s}(G)) - s$

since  $\text{Cl}_{n+s}(G)$  is  $(k+s)$ -connected. Then, by Theorem 3, the graph  $\text{Cl}_{n+s}(G)$  is traceable for  $s = -1$ , Hamiltonian for  $s = 0$ , and Hamiltonian-connected for  $s = 1$ . Application of Theorem 4 completes the proof.  $\square$

2.  $n + s$  even

2.a Hamiltonicity ( $s = 0$ )

Let  $C$  be a cycle of maximum length in a graph  $G$  satisfying the condition of Theorem 6 for  $s = 0$ . Suppose  $G$  is not Hamiltonian. Using Menger's theorem for an arbitrary vertex  $x_0 \in V(G) \setminus V(C)$  there exist  $k \leq \kappa$  vertex disjoint (except  $x_0$ ) paths from  $x_0$  to  $C$ . Let  $v_1, v_2, \dots, v_k$  denote the contact vertices of these paths on  $C$  and assume that they appear in this order according to a fixed arbitrary orientation on  $C$ . For a vertex  $v \in V(C)$  let  $v^-$  and  $v^+$  denote the vertex immediately preceding and succeeding  $v$  on  $C$  according to the orientation, respectively. Set  $x_i = v_i^+$  for  $1 \leq i \leq k$  and  $y_i = v_{i+1}^-$  for  $1 \leq i \leq k-1$  and  $y_k = v_1^-$ . It is well known (cf. [4]) that  $X = \{x_0, x_1, \dots, x_k\}$  is an independent set (see also Lemma 1(a)).

A closed segment on cycle  $C$  determined by  $a, b \in V(C)$  is denoted by  $[a, b]$  and a half-closed segment analogously by  $[a, b)$  or  $(a, b]$ . If such a segment is traversed in the same orientation as  $C$ , then we write  $[a, b]^+$ ,  $[a, b)^+$ , or  $(a, b]^+$ , and if it is traversed opposite to  $C$ , then we write  $[a, b]^-$ ,  $[a, b)^-$ , or  $(a, b]^-$  instead.

**Lemma 1.**

- (a)  $X = \{x_0, x_1, \dots, x_k\}$  is an independent set.
- (b) If  $x_i, x_j \in X$ ,  $1 \leq i \leq k$ , then there is no vertex  $z \in [x_i, y_i]^+$  such that  $x_i z^+, x_j z^- \in E(G)$  and  $x_j \in (x_i, y_i]^-$ .
- (c) For  $x_i \in X$  with  $1 \leq i \leq k$  there is no vertex  $z \in V(C)$  such that  $x_0 z, x_i z^+ \in E(G)$ .

**Proof.**

- (a) Adjacent vertices  $x_i$  and  $x_j$  or  $x_0$  and  $x_i$ ,  $1 \leq i < j \leq k$ , would imply the existence of a cycle  $v_i x_0 [v_j, x_i]^- [x_j, v_i]^+$  or  $v_i x_0 [x_i, v_i]^+$ , respectively, being longer than  $C$  which contradicts the choice of  $C$ .
- (b) The existence of such a vertex  $z$  would imply the existence of a cycle  $v_i x_0 [v_j, z]^+ [x_i, z]^- [x_j, v_i]^+$  if  $i = k$  or  $1 \leq i < j \leq k$  or of a cycle  $v_j x_0 [v_i, x_j]^- [z, x_i]^- [z^+, v_j]^+$  if  $1 \leq j < i \leq k$ , both cycles being longer than  $C$ .
- (c) The existence of such a vertex  $z$  would imply the existence of a cycle  $z x_0 [v_i, z]^+ [x_i, z]^+$  or  $z^+ [x_i, z]^+ x_0 [v_i, z^+]^-$  again being longer than  $C$ .  $\square$

The following sets are subsets of the vertex set of  $G$ :

$$A = V(G) \setminus N(X), \quad A_C = A \cap V(C), \quad A_R = A \setminus V(C),$$

that is,  $A$  is the set of vertices that are not adjacent to any vertex of  $X$ .

**Lemma 2** (See also [6]).

- (a)  $x_0 \in A_R$ .
- (b) Two distinct elements of  $X$  have no common neighbor in  $V(G) \setminus V(C)$ .

**Proof.**

- (a) Since  $x_0 \notin V(C)$  and  $X$  is independent, that is,  $x_0 \notin N(X)$ , we have  $x_0 \in A_R$ .
- (b) If there were a common neighbor  $z$  of  $x_i$  and  $x_j$  or  $x_0$  and  $x_i$ ,  $1 \leq i < j \leq k$ , then the cycle  $v_i x_0 [v_j, x_i]^- [x_j, v_i]^+$  or  $v_i x_0 z [x_i, v_i]^+$ , respectively, would be longer than  $C$ .  $\square$

Let  $a_1$  and  $a_2$  be two consecutive vertices of  $A_C$  according to the orientation of  $C$  and  $J = [a_1, a_2]$  the half-closed segment between  $a_1$  and  $a_2$  on  $C$ . Since  $X \subset A$ , we can suppose that  $a_1$  and  $a_2$  belong to a segment  $[x_i, x_{i+1}]$  with  $1 \leq i \leq k-1$  or to  $[x_k, x_1]$ . Let  $N_J(x_i)$ ,  $0 \leq i \leq k$ , be the set of neighbors of  $x_i$  in  $J$ . Then, by Lemma 1(b) and (c), the neighborhoods

$$N_J(x_i), N_J(x_{i-1}), \dots, N_J(x_1), N_J(x_k), N_J(x_{k-1}), \dots, N_J(x_{i+1}), N_J(x_0)$$

or

$$N_J(x_k), N_J(x_{k-1}), \dots, N_J(x_1), N_J(x_0),$$

respectively, form consecutive (possibly empty) segments of  $C$  in  $J$  that can only have their endvertices (extremities) in common. Hence, if a segment  $J = [a_1, a_2]$  contains  $t \geq 1$  vertices of  $N(X)$  then

$$\sum_{i=0}^k d_J(x_i) \leq k + t = \frac{k+1}{2}(t+1) - \frac{(k-1)(t-1)}{2} \leq \frac{k+1}{2}(t+1). \quad (1)$$

Note that this upper bound also holds for  $t = 0$ . Now  $V(C)$  can be partitioned into segments of type  $J$ . Using (1), Lemmas 1(a) and 2, we obtain the statement of the next lemma as follows.

If  $|V(C)|$  is even, then  $\sum_{i=0}^k d(x_i) \leq |V(C)| \frac{k+1}{2} + (n-1-|V(C)|)$ . If  $|V(C)|$  is odd, then by parity arguments there is at least one segment with  $t \geq 2$  vertices of  $N(X)$ . Using (1) implies  $\sum_{i=0}^k d(x_i) \leq |V(C)| \frac{k+1}{2} - \frac{k-1}{2} + (n-1-|V(C)|) = \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k+1) + \left( n-1-2 \left\lfloor \frac{|V(C)|}{2} \right\rfloor \right)$ .

**Lemma 3.** Let  $C$  be a longest cycle in a  $k$ -connected non-Hamiltonian graph  $G$  of order  $n$  that satisfies the conditions of Theorem 6 for  $s = 0$ . Let  $X = \{x_0, x_1 = v_1^+, \dots, x_k = v_k^+\}$  where  $v_i, 1 \leq i \leq k$ , are the contact vertices on  $C$  of  $k$  pairwise disjoint paths from  $x_0 \notin V(C)$  to  $C$ . Then

$$\sum_{i=0}^k d(x_i) \leq \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k+1) + \left( n-1-2 \left\lfloor \frac{|V(C)|}{2} \right\rfloor \right) = \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k-1) + n-1 \leq (k+1) \frac{n-1}{2}.$$

Suppose  $G$  is not Hamiltonian. Then, by Theorem 4,  $\text{Cl}_n(G) = G'$  is not Hamiltonian. By Theorem 3, we obtain that  $\alpha(G') \geq \kappa(G') + 1 \geq k+1$ .

Let  $\{v_1, v_2, \dots, v_{k+1}\}$  be an independent set of  $k+1$  vertices of  $G'$ . We may assume that  $d(v_1) \leq d(v_2) \leq \dots \leq d(v_{k+1})$ . Then  $d(v_k) \leq \frac{n}{2} - 1$  since otherwise  $d(v_k) + d(v_{k+1}) \geq n$  contradicting the definition of the  $n$ -closure. Hence

$$\sum_{i=1}^{k+1} d(v_i) = \sum_{i=1}^{k-1} d(v_i) + d(v_k) + d(v_{k+1}) \leq (k-1) \frac{n-2}{2} + n-1 = (k+1) \frac{n-2}{2} + 1.$$

By the condition of Theorem 6, we conclude that

$$\sum_{i=1}^{k+1} d(v_i) = (k+1) \frac{n-2}{2} + 1 \quad (2)$$

implying  $d(v_i) = \frac{n-2}{2}$  for  $1 \leq i \leq k$  and  $d(v_{k+1}) = \frac{n}{2}$ .

Suppose  $\alpha(G') \geq k+2$ . Let  $I$  be an independent set with  $\alpha(G') = \alpha$  vertices  $v_1, v_2, \dots, v_\alpha$  such that  $d(v_1) \leq d(v_2) \leq \dots \leq d(v_\alpha)$ . By the same argument as before, we obtain  $d(v_i) = \frac{n-2}{2}$  for  $1 \leq i \leq k$  and  $d(v_i) = \frac{n}{2}$  for  $k+1 \leq i \leq \alpha(G')$ . But then  $d(v_i) + d(v_j) = n$  for  $k+1 \leq i < j \leq \alpha(G')$ , contradicting the definition of  $G'$ . Hence we have  $\kappa(G') + 1 \leq \alpha(G') = k+1$  which implies  $k = \kappa(G')$ .

We now consider the independent set  $X$  with  $k+1$  vertices defined above. By (2) and Lemma 3, we have

$$(k+1) \frac{n-2}{2} + 1 = \sum_{i=0}^k d(x_i) \leq \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k+1) + \left( n-1-2 \left\lfloor \frac{|V(C)|}{2} \right\rfloor \right)$$

which implies  $(k-1) \frac{n-2}{2} \leq \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k-1)$ , that is,  $\left\lfloor \frac{|V(C)|}{2} \right\rfloor \geq \frac{n-2}{2}$  and therefore  $|V(C)| \geq n-2$ .

1.  $|V(C)| = n-1$ :

We have  $d(x_0) = \frac{n-2}{2}$  implying  $k = \kappa(G') = \frac{n-2}{2}$  and  $\alpha(G') = \frac{n}{2}$ . But then, by the condition of the theorem and by Lemma 3, we have  $\frac{1}{2}((k+1)(n-1)+3-k) = \frac{1}{2}(k+1)(n-2)+2 \leq \sum_{i=0}^k d(x_i) \leq \left\lfloor \frac{|V(C)|}{2} \right\rfloor (k+1) + \left( n-1-2 \left\lfloor \frac{|V(C)|}{2} \right\rfloor \right) = \frac{1}{2}(k+1)(n-2)+1$ , a contradiction.

2.  $|V(C)| = n-2$ :

Let  $C$  be a longest cycle of length  $n-2$  and let  $x_0$  and  $w$  be the two vertices not on  $C$ .

If  $x_0$  and  $w$  are not adjacent, then  $d(x_0) = d(w) = \frac{n-2}{2}$  and thus  $N(x_0) = N(w)$ . But then  $X$  is an independent set of  $k+1$  vertices with  $\sum_{i=0}^k d(x_i) \leq (k+1) \frac{n-2}{2}$ , a contradiction to the condition of the theorem.

Hence we may assume that  $x_0 w \in E(G')$ . Then  $w x_i \notin E(G')$  for  $1 \leq i \leq k$  by Lemma 2(b) which implies  $d(x_i) + d(x_j) \leq n-2$  for  $1 \leq i < j \leq k$  (see [2]). Hence we have  $d(x_i) = \frac{n-2}{2}$  for  $1 \leq i \leq k$  and  $d(x_0) = \frac{n}{2}$ . Thus  $k = \frac{n-2}{2}$  and so  $\frac{1}{2}((k+1)(n-1)+3-k) = \frac{1}{2}(k+1)(n-2)+2 \leq \sum_{i=0}^k d(x_i) \leq \frac{1}{2}(k+1)(n-2)+1$ , again a contradiction.  $\square$

2.b Traceability ( $s = -1$ )

For a graph  $G$  satisfying the condition of Theorem 6 for  $s = -1$ , we construct the graph  $H \cong G + K_1$ . Then  $H$  satisfies the hypothesis of Theorem 6 for  $s = 0$ . Hence  $H$  is Hamiltonian and therefore  $G$  is traceable.  $\square$

2.c Hamiltonian-connectedness ( $s = 1$ )

Let  $G$  be a graph that satisfies the condition of Theorem 6 for  $s = 1$ . Suppose there are two vertices  $u, w$  which are connected by a path  $P$  of maximum length that is not Hamiltonian. Using Menger's Theorem for an arbitrary vertex  $x_0 \in V(G) \setminus V(P)$  there exist  $k+1 \leq \kappa$  vertex disjoint (except  $x_0$ ) paths from  $x_0$  to  $P$ . Let  $v_1, v_2, \dots, v_{k+1}$  denote the contact vertices of these paths on  $P$  and assume that they appear in this order according to a fixed orientation on  $P$ . Set  $x_i = v_i^+$  for  $1 \leq i \leq k$  and  $x_{k+1} = v_{k+1}^+$  if  $v_{k+1} \neq w$ . Set  $y_i = v_i^-$  for  $2 \leq i \leq k+1$  and  $y_1 = v_1^-$  if  $u \neq v_1$ .

The set  $X = \{x_0, x_1, \dots, x_k\}$  is independent (see proof of Lemma 4(a)). If  $x_{k+1}$  exists then by the same argument also  $X \cup \{x_{k+1}\}$  is independent.

**Lemma 4.**

- (a)  $X$  is an independent set.
- (b) If  $x_i$  and  $x_j$  are two distinct elements of  $X \setminus \{x_0\}$  then there is no vertex  $z \in [x_i, y_i]$  such that  $x_i z^+, x_j z \in E(G)$ .
- (c) For  $x_i \in X$  with  $1 \leq i \leq k$  there is no vertex  $z \in V(C)$  such that  $x_0 z, x_i z^+ \in E(G)$ .

**Proof.**

- (a) Adjacent vertices  $x_i$  and  $x_j$  or  $x_0$  and  $x_i$ ,  $1 \leq i < j \leq k$ , would imply the existence of a path  $[u, v_i]^+ x_0 [v_j, x_i]^- [x_j, w]^+$  or  $[u, v_i]^+ x_0 [x_i, w]^+$ , respectively, being longer than  $P$  which contradicts the choice of  $P$ .
- (b) The existence of such a vertex  $z$  would imply the existence of a path  $[u, v_i]^+ x_0 [v_j, z]^+ [x_i, z]^- [x_j, w]^+$  if  $1 \leq i < j \leq k$  or of a path  $[u, v_j]^+ x_0 [v_i, x_j]^- [z, x_i]^- [z^+, w]^+$  if  $1 \leq j < i \leq k$  both paths being longer than  $P$ .
- (c) The existence of such a vertex  $z$  would imply the existence of a path  $[u, z]^+ x_0 [v_i, z^+]^- [x_i, w]^+$  or  $[u, v_i]^+ x_0 [z, x_i]^- [z^+, w]^+$  again being longer than  $P$ .  $\square$

Define  $A = V(G) \setminus N(X)$ ,  $A_P = A \cap V(P)$ , and  $A_R = A \setminus V(P)$ .

**Lemma 5.**

- (a)  $x_0 \in A_R$ .
- (b) Two distinct elements of  $X$  have no common neighbor in  $V(G) \setminus V(P)$ .

**Proof.**

- (a) Since  $X$  is independent, we have  $X \subset A$  and therefore  $x_0 \in A_R$ .
- (b) If there were a common neighbor  $z$  of  $x_i$  and  $x_j$  or  $x_0$  and  $x_i$ ,  $1 \leq i < j \leq k$ , then the path  $[u, v_i]^+ x_0 [v_j, x_i]^- z [x_j, w]^+$  or  $[u, v_i]^+ x_0 z [x_i, w]^+$ , respectively, would be longer than  $P$ .  $\square$

Let  $a_1$  and  $a_2$  be two consecutive vertices of  $A_P$  according to the orientation of  $P$  and  $J = [a_1, a_2]$  the half-closed segment between  $a_1$  and  $a_2$  on  $P$ . Since  $X \subset A$ , we can suppose that  $a_1$  and  $a_2$  belong to a segment  $[x_i, x_{i+1}]$ ,  $1 \leq i < k$ , or to  $[u, x_1]$  or to  $[x_k, w]$ . Then, by Lemma 4(b) and (c), the neighborhoods

$$N_J(x_i), N_J(x_{i-1}), \dots, N_J(x_1), N_J(x_k), N_J(x_{k-1}), \dots, N_J(x_{i+1}), N_J(x_0)$$

form consecutive (possibly empty) segments of  $P$  in  $J$  that can only have their endvertices (extremities) in common. Hence, if a segment  $J = [a_1, a_2]$  contains  $t \geq 1$  vertices of  $N(X)$  then

$$\sum_{i=0}^k d_J(x_i) \leq (k+1) + (t-1) = k+t = \frac{k+1}{2}(t+1) - \frac{(k-1)(t-1)}{2} \leq \frac{k+1}{2}(t+1). \quad (3)$$

Note that this upper bound also holds for  $t = 0$ . For the convenience of the calculation, we add a vertex  $u_0$  to  $V(P)$  and the edge  $u_0 u$ . Then  $u_0 \in A$ . Now  $V(P)$  can be partitioned into segments of type  $J$ . Using (3), Lemmas 4(a) and 5, we obtain the statement of the following lemma in the same way as Lemma 3 above. Note that we apply (3) to  $V(P) \cup \{u_0\}$ .

**Lemma 6.** Let  $G$  be a  $(k+1)$ -connected graph of order  $n$  that satisfies the conditions of Theorem 6 for  $s = 1$  but is not Hamiltonian-connected. If  $P$  is a longest path between vertices  $u$  and  $w$  of  $G$  that is not Hamiltonian, then

$$\sum_{i=0}^k d(x_i) \leq \left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor (k+1) + \left( n + |\{u_0\}| - 1 - 2 \left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor \right) \leq (k+1) \frac{n-1}{2} + 1,$$

where  $x_i = v_i^+$ ,  $1 \leq i \leq k$ , and  $v_i$  are the contact vertices on  $P$  of  $k$  pairwise disjoint paths from  $x_0 \notin V(P)$  to  $P$ .

Since  $P$  is not Hamiltonian,  $\text{Cl}_{n+1}(G) = G'$  is not Hamiltonian-connected according to Theorem 4. By Theorem 3, we obtain that  $\alpha(G') \geq \kappa(G') \geq k+1$ .

Let  $I = \{v_1, v_2, \dots, v_{\alpha(G')}\}$  be any independent set of  $\alpha(G')$  vertices in  $G'$ . Then  $d(v_i) + d(v_j) \leq n$  for  $1 \leq i < j \leq \alpha(G')$  by definition of the closure. Assume that  $d(v_1) \leq d(v_2) \leq \dots \leq d(v_{\alpha(G')})$ .

As in the Hamiltonicity case, we obtain for  $\{v_1, v_2, \dots, v_{k+1}\} \subseteq I$

$$\sum_{i=1}^{k+1} d(v_i) = (k+1) \frac{n-1}{2} + 1 \quad (4)$$

and therefore,  $d(v_i) = \frac{n-1}{2}$  for  $1 \leq i \leq k$  and  $d(v_{k+1}) = \frac{n+1}{2}$  and  $k < \frac{n-3}{2}$ . If  $\alpha(G') \geq k+1$  then  $d(v_i) + d(v_j) = n+1$  for  $k+1 \leq i < j \leq \alpha(G')$ , a contradiction. Hence we have  $k+1 \leq \kappa(G') \leq \alpha(G') = k+1$  which implies  $k+1 = \kappa(G')$ .

We now consider the independent set  $X$  with  $k+1$  vertices defined above. By (4) and Lemma 6, we have

$$(k+1) \frac{n-1}{2} + 1 = \sum_{i=0}^k d(x_i) \leq \left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor (k+1) + n - 2 \left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor$$

which implies  $(k-1) \frac{n-1}{2} \leq \left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor (k-1)$  and therefore  $\left\lfloor \frac{|V(P) \cup \{u_0\}|}{2} \right\rfloor \geq \frac{n-1}{2}$  which gives  $|V(P)| \geq n-2$ .

1.  $|V(P)| = n-1$ :

We have  $d(x_0) = \frac{n-1}{2}$  which implies  $k+1 = \kappa(G') = \alpha(G') = \frac{n-1}{2}$  and therefore  $k = \frac{n-3}{2}$ , a contradiction.

2.  $|V(P)| = n - 2$ :

Let  $P$  be a longest path between vertices  $u$  and  $w$  of length  $n - 2$  and let  $x_0$  and  $z$  be the two vertices not on  $P$ .

If  $x_0$  and  $z$  are not adjacent, then  $\frac{n-1}{2} \leq d(x_0) \leq \frac{n+1}{2}$ . Repeating this consideration for  $z$  instead of  $x_0$  and using Lemma 5(b), we obtain  $d(x_0) = d(z) = \frac{n-1}{2}$  and  $N_P(x_0) = N_P(z)$ . But then  $X \cup \{z\}$  is an independent set with  $k + 2 > \alpha(G')$  vertices, a contradiction.

If  $x_0 z \in E(G)$  then  $|N_P(x_0)| \geq \frac{n-1}{2} - 1 = \frac{n-3}{2}$ . Suppose  $|N_P(x_0)| = \frac{n-3}{2}$ . Then, by combinatorial arguments, there is a segment  $J$  with  $t = 3$  vertices of  $N(X)$  or two segments  $J$  and  $\bar{J}$  with  $t = \bar{t} = 2$  vertices of  $N(X)$ . Then we obtain by using (3) and Lemma 6

$$\sum_{i=0}^k d(x_i) \leq (k+1) \frac{n-1}{2} + 1 - 2 \frac{k-1}{2} < (k+1) \frac{n-1}{2} + \frac{1}{2},$$

a contradiction to the condition of the theorem. Hence we have  $|N_P(x_0)| = \frac{n-1}{2}$  and analogously  $|N_P(z)| = \frac{n-1}{2}$  and therefore  $N_P(x_0) = N_P(z)$  by Lemma 5(b). This gives  $k + 1 = \kappa(G') = \alpha(G') = \frac{n-1}{2}$  and so  $k = \frac{n-3}{2}$ , a final contradiction.  $\square$

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